

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF ELECTRONIC FILING**

I hereby certify that this document is being electronically filed with the U.S. Patent and Trademark Office via the EFS Web on October 6, 2008.



Kristofer M. Biskeborn  
Reg. No. 62,024

In re application of:  
Tully et al.

Serial No.: 10/692,067

Filed: October 22, 2003

For: System and Method for the Automated  
Brokerage of Financial Instruments

:  
:  
:  
:  
:  
:  
:  
:  
:  
:  
:  
:

Examiner: Zecher, Michael R.

Group Art Unit: 3691

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Amendment and Response A:**

In response to the Office Action dated May 6, 2008, Applicant submits the following amendments and remarks.

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks** begin on page 13 of this paper.